

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In Re Application of:

Moore

Serial No.: 10/642,309

Filed: August 18, 2003

For: Operating System for Executing Computer Software Applications

Confirmation Number: 1974

Group Art Unit: 2194

Examiner: Wu, Qing Yuan

Docket No.: 060707-1330

**RENEWED PETITION UNDER 37 C.F.R. § 1.47 TO ACCEPT FILING OF APPLICATION
MADE ON BEHALF OF AN INVENTOR WHO CANNOT BE FOUND OR REACHED AFTER
DILIGENT EFFORT**

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In order to preserve proprietary interest in the above listed application, the Assignee **(Brooktree Broadband Holding Inc.)**, hereby petitions to accept the filing of U.S. utility application, having serial no. 10/642,309, filed on August 18, 2003, said filing made on behalf of the sole inventor **Mark Justin Moore**. At the time of filing, Mark Justin Moore executed a declaration (Exhibit A), which was denied by the U.S. Patent and Trademark Office (USPTO). Despite Applicant's arguments regarding the sufficiency of the executed Declaration, the USPTO was unwilling to accept the Declaration. Since filing of the Declaration, Mark Justin Moore has ceased his employment with the Assignee and has been unreachable, as indicated below.

Pursuant to 37 C.F.R. § 1.47, enclosed is a copy of the filed Declaration (Exhibit A), proof that the sole inventor, Mark Justin Moore, is unreachable (Exhibit C), the required fee under 37 C.F.R. § 1.17 (h), and a potential last known address of sole inventor.

In response to the guidance provided by the Office of Petitions, and as required by MPEP 409.03 (d), Petitioner submits the following in an effort to address the suggestions of MPEP 409.03 (d):

Exhibit A The original executed Declaration.

Exhibit B Assignment data, as follows:

Exhibit B1 Executed Assignment from Mark Justin Moore to Globespan Virata;

Exhibit B2 Recordation Assignment data from the USPTO illustrating recordation from Mark Justin Moore to Globespan Virata;

Exhibit B3 Assignment data from PAIR illustrating Assignment from Globespan Virata to Brooktree Broadband Holding Inc.; and

Exhibit B4 Statement under 37 C.F.R. 3.73(b), executed by Haw-minn Lu, representative of Assignee.

Exhibit C Copies of documentary evidence, as follows:

Exhibit C1 E-mail string from Patricia Dailey (pdailey@ikanos.com) to Lucy Cooney (Lucy.Cooney@Conexant.com) on October 20, 2009, showing an e-mail that Patricia Dailey sent to Mark Justin Moore on October 30, 2008;

Exhibit C2 E-mail string from Patricia Dailey (pdailey@ikanos.com) to Lucy Cooney (Lucy.Cooney@Conexant.com) on October 20, 2009, showing an e-mail that Patricia Dailey sent and was looking for Mark Justin Moore on February 10, 2009;

Exhibit C3 E-mail to last known e-mail addresses of Mark Justin Moore;

Exhibit C4 E-mail to co-workers of Mark Justin Moore at Assignee requesting contact information of Mark Justin Moore;

Exhibit C5 E-mail string from Mark Justin Moore's co-workers at Assignee stating the contact information they have;

Exhibit C6 An Internet search on the inventor, Mark Justin Moore;

Exhibit C7 Declaration from Kristina L. Schafer;

Exhibit C8 Declaration from Coco M. Bailey;

Exhibit C9 Correspondence transcript between Spanish translator and person at telephone number associated with the potential last known address of Mark Justin Moore;

Exhibit C10 Signed letter from Anthony Bonner to Mark Justin Moore; and

Exhibit C11 Registered mail signed receipt for letter of Exhibit C10 to the potential last known address of Mark Justin Moore.

Exhibit D Oath from Haw-minn Lu, a representative authorized to sign on behalf of Assignee.

Insofar as Petitioner has met all of the requirements of MPEP 409.03(d), Petitioner respectfully requests the granting of this petition.

Summary

The present application was filed with a Declaration (Exhibit A) on August 18, 2003. At that time Mark Justin Moore was employed by Globespan Virata and the present application was assigned accordingly (Exhibits B1, B2). Mark Justin Moore was employed by Globespan Virata until December 10, 2002. Two years later Conexant Systems, Inc. and Globespan Virata merged and the present application was assigned to Brooktree Broadband Holding, Inc., which is a wholly owned subsidiary of Conexant Systems, Inc. (Exhibit B2). The Assignee's records show a last known address for Mark Justin Moore as 7 George Street, Cambridge, CB 4 1AL, UK.

The USPTO first rejected the Declaration in a Office Action mailed July 2, 2007 because "The oath or declaration is defective because: It does not state that the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought." During prosecution, the Assignee's attorney, Anthony Bonner, argued against this assessment of the filed Declaration, with no success.

Despite the validity of Mr. Bonner's arguments, the Assignee has attempted to locate Mark Justin Moore to execute a new declaration. More specifically, Patricia Dailey (Conexant Employee at the time of filing) sent an email to Mark Justin Moore on October 30, 2008 requesting his signature on the new Declaration (Exhibit C1). When Ms. Dailey did not receive a response she sent an email on February 10, 2009 to the general mail box for the company Mark Justin Moore was believed to be employed by (Exhibit C2). After still not receiving a response to this email, Mr. Bonner sent an email to Mark Justin Moore on October 23, 2009 requesting his signature (Exhibit C3). Mr. Bonner also sent an email to Brian Knight and Martin Jackson (Mark Justin Moore's co-workers while Mr. Moore worked at the Assignee) on October 23, 2009 requesting Mark Justin Moore's current contact information (Exhibit C4). On October 26, 2009 Brian Knight replied to Mr. Bonner's email with the same contact information Patricia

Dailey and Anthony Bonner had used in previous attempts to locate Mr. Moore (Exhibit C5). At this time, Anthony Bonner performed multiple Internet searches for Mark Justin Moore (Exhibit C6) with only three telephone numbers being found. Anthony Bonner then asked Kristina Schafer to contact Mark Justin Moore at the three numbers found in the search and other numbers identified as being associated with Mark Justin Moore's last known address (both in the United Kingdom and Spain). On at least four separate occasions, Kristina Schafer attempted to contact Mark Justin Moore at the telephone numbers no success (Exhibit C7). Additionally, on multiple occasions, Coco Bailey attempted to (with the assistance of a Spanish law firm) contact Mark Justin Moore via written communication, also with no success (Exhibit C8).

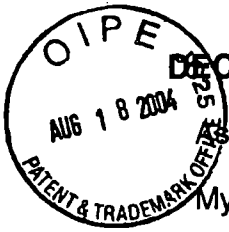
Assignee has clearly illustrated a diligent attempt to locate the sole inventor, Mark Justin Moore. Additionally, to preserve the rights of Assignee, Conexant Systems, Inc. and to prevent irreparable damage to Assignee, Assignee respectfully requests a grant of this petition. No additional fee is deemed to be payable in connection with this Petition other than those fees included with this Petition. Should any additional fee be required, you are hereby authorized to charge any such additional fee to Deposit Account No. 50-0835.

Respectfully submitted,

/afb/

Anthony F. Bonner Jr. Reg. No. 55,012

**THOMAS, KAYDEN,
HORSTEMEYER & RISLEY, L.L.P.**
Suite 1500
600 Galleria Parkway SE
Atlanta, Georgia 30339
(770) 933-9500

EXHIBIT A**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

I, the below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name;

I believe that I am the original, and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPERATING SYSTEM FOR EXECUTING COMPUTER SOFTWARE APPLICATIONS

the specification of which: ☐ is attached hereto.

☒ was filed on: August 18, 2003

as United States Application No.: 10/642,309

or PCT International Application No.: _____

and was amended on: _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

And I hereby authorize and request our agents, Hunton & Williams, whose address is set forth below, to insert above, if necessary, the filing date and application number of said application when known.

Prior Foreign Application(s)

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

Application Number	Country	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed	
				Yes <input type="checkbox"/>	No <input type="checkbox"/>
				Yes <input type="checkbox"/>	No <input type="checkbox"/>
				Yes <input type="checkbox"/>	No <input type="checkbox"/>

HUNTON & WILLIAMS
Intellectual Property Department
1900 K Street, N.W.
Suite 1200
Washington, DC 20006-1109
(202) 955-1500 (telephone); (202) 778-2201 (facsimile)

Prior Provisional Application(s)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing (day, month, year)
60/403,660	August 16, 2002

Prior United States Application(s)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned

And I hereby appoint, both jointly and severally, as my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the Hunton & Williams attorneys and agents associated with

CUSTOMER NUMBER**21967**

All correspondence and telephone communications should be addressed to:

CUSTOMER NUMBER**21967**

corresponding to the law firm of Hunton & Williams; Intellectual Property Department; 1900 K Street, N.W.; Suite 1200; Washington, DC 20006-1109; telephone number (202) 955-1500; facsimile number (202) 778-2201.

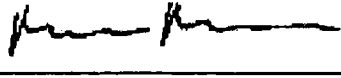
HUNTON & WILLIAMS
Intellectual Property Department
1900 K Street, N.W.
Suite 1200
Washington, DC 20006-1109
(202) 955-1500 (telephone); (202) 778-2201 (facsimile)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

NAME OF FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Signature



Date

May 25, 2004

Given Name

(first and middle (if any))

Mark Justin

Family Name

or Surname

MOORE

Citizenship:

British

Residence

(City, State):

Cambridge, UK

Mailing Address:

7 George Street, Cambridge CB4 1 AL UK

HUNTON & WILLIAMS
Intellectual Property Department
1900 K Street, N.W.
Suite 1200

Washington, DC 20006-1109
(202) 955-1500 (telephone); (202) 778-2201 (facsimile)

EXHIBIT B1

Docket No. 060707-1330 (GV 216)
Page 1 of 3
(Patent Assignment)

ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, the following parties:

Name

Address

Mark Justin Moore

C/Ferlandina 43 - 4º2ª, 08001 Barcelona, Spain

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements ("invention(s)") as described and set forth in the below-identified utility application for United States Letters Patent entitled:

OPERATING SYSTEM FOR EXECUTING COMPUTER SOFTWARE APPLICATIONS

which was:

executed on even date herewith,
filed with the United States Patent and Trademark Office (USPTO) on
08/13/2003, and assigned Serial No. 10/642,309, and
further described in the U.S. Provisional application filed with the USPTO on
08/16/2002, and assigned Serial No. 60/403,660

Note: Only one of the first two checkboxes will be checked. The third checkbox will be checked, only if appropriate.

WHEREAS, GlobespanVirata Incorporated, having a place of business at 100 Schulz Drive, Red Bank, NJ 07701 USA, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in and to said invention(s), said utility application, said provisional application, and any U.S. and foreign patents which are related to the same.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by ASSIGNOR, ASSIGNOR has sold, assigned and transferred and does hereby sell, assign and

transfer unto ASSIGNEE, and ASSIGNEE'S successors and assigns, (a) the entire right, title and interest, for the United States of America, in and to said invention(s), said utility application, and said provisional application and all the rights and privileges in any application and under any and all patents that may be granted in the U.S. for said inventions, including all corresponding provisional, continuation, continuation-in-part, divisional, reissue, and reexamination applications; and (b) the entire right, title and interest in and to said invention(s), said utility application, and said provisional application for all countries foreign to the U.S., including all rights of priority arising from them, and all the rights and privileges under any and all forms of protection, including patents, that may be granted in said countries foreign to the U.S. for them.

ASSIGNOR authorizes ASSIGNEE to make application for such protection in its own name and maintain such protection in any and all countries foreign to the U.S., and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from ASSIGNOR, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

ASSIGNOR hereby consents that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of ASSIGNEE to apply for patent or other form of protection for said inventions, said utility application, or said provisional application and to claim the aforesaid benefit of the right of priority.

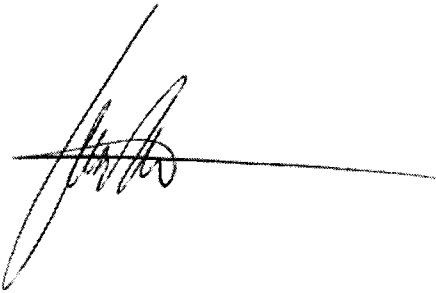
ASSIGNOR requests that any and all patents for said inventions be issued to ASSIGNEE in the U.S. and to ASSIGNEE in all countries foreign to the U.S., or to such nominee as ASSIGNEE may designate.

ASSIGNOR covenants and agrees that, when requested, ASSIGNOR shall, without charge to ASSIGNEE but at ASSIGNEE'S expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient in connection with the patent applications, patents, or other forms of protection of said invention(s), and for the defense and protection thereof if challenged in the court of law.

ASSIGNOR authorizes ASSIGNEE or its agents to insert, on ASSIGNOR's behalf, the filing date and/or serial number above pertaining to the utility application and/or the provisional application, if not known as of the date of execution of this document.



Mark Justin Moore
Date: 14th October 2008



Witness: Marco Madella

Date: 14th October 2008

): ANTHONY F. BONNER COMPANY: 600 GALLERIA PARKWAY

EXHIBIT B2**UNITED STATES PATENT AND
TRADEMARK OFFICE****Facsimile Transmission**

To: **Name:** ANTHONY F. BONNER
 Company: 600 GALLERIA PARKWAY
 Fax Number: 17709510933
 Voice Phone:

From: **Name:** ASSIGNMENT SERVICES BRANCH
 Voice Phone: 571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fax Notes:

Pg#	Description
1	Cover Page
2	799.TXT
4	Document 1, Batch 1941657

USPTO ASSIGNMENT SYSTEM PROCESSING

Date and time of transmission: Thursday, February 18, 2010 12:45:44 PM
Number of pages including this cover sheet: 04

) : ANTHONY F. BONNER COMPANY: 600 GALLERIA PARKWAY

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



501093376A

FEBRUARY 16, 2010

PTAS

ANTHONY F. BONNER
600 GALLERIA PARKWAY
SUITE 1500
ATLANTA, GA 30339

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/16/2010

REEL/FRAME: 023938/0889
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 60707-1330

ASSIGNOR:

MOORE, MARK JUSTIN

DOC DATE: 10/14/2008

ASSIGNEE:

GLOBESPAN VIRATA INCORPORATED
100 SCHULZ DRIVE
RED BANK, NEW JERSEY 07701

SERIAL NUMBER: 10642309

FILING DATE: 08/18/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: OPERATING SYSTEM FOR EXECUTING COMPUTER SOFTWARE APPLICATIONS

):ANTHONY F. BONNER COMPANY:600 GALLERIA PARKWAY

023938/0889 PAGE 2

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

): ANTHONY F. BONNER COMPANY: 600 GALLERIA PARKWAY

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

02/16/2010
501093376

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Mark Justin Moore	10/14/2008
RECEIVING PARTY DATA	
Name:	Globespan Virata Incorporated
Street Address:	100 Schulz Drive
City:	Red Bank
State/Country:	NEW JERSEY
Postal Code:	07701
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10642309
CORRESPONDENCE DATA	
Fax Number:	(770)951-0933
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	770-933-9500
Email:	Tony.Bonner@ukhr.com
Correspondent Name:	Anthony F. Bonner
Address Line 1:	600 Galleria Parkway
Address Line 2:	Suite 1500
Address Line 4:	Atlanta, GEORGIA 30339
ATTORNEY DOCKET NUMBER:	80707-1330
NAME OF SUBMITTER:	Anthony F. Bonner
Total Attachments: 3 source=01054392#page1.tif source=01054392#page2.tif source=01054392#page3.tif	

CH \$40.00 10642309

EXHIBIT B3



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10/642,309

Operating system for executing computer software applications

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Assignments Data

Patent Assignment Abstract of Title

Total Assignments: 2

Application #: 10642309

Filing Dt: 06/18/2003

Patent #: NONE

Issue Dt:

PCT #: NONE

Publication #: US20060148589

Pub Dt: 07/27/2006

Inventor: Mark Justin Moore

Title: Operating system for executing computer software applications

Assignment: 1

Reel/Frame: 018826 / 0939

Received: 02/01/2007

Recorded: 02/01/2007

Mailed: 02/01/2007

Pages: 14

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: GLOBESPANVIRATA, INC.

Exec Dt: 02/28/2004

Assignee: BROOKTREE BROADBAND HOLDING, INC.
4000 MACARTHUR BLVD.
NEWPORT BEACH, CALIFORNIA 92660

Correspondent:

DENNIS HOPKINS
CHADBOURNE & PARKE LLP
38 ROCKEFELLER PLAZA
NEW YORK, NY 10112

Assignment: 2

Reel/Frame: 018573 / 0337

Received: 11/21/2006

Recorded: 11/21/2006

Mailed: 12/04/2006

Pages: 47

Conveyance: SECURITY AGREEMENT

Assignor: BROOKTREE BROADBAND HOLDING, INC.

Exec Dt: 11/13/2006

Assignee: BANK OF NEW YORK TRUST COMPANY, N.A., THE
227 WEST HONROE, SUITE 2600
ATTN: CORPORATE TRUST ADMINISTRATION
CHICAGO, ILLINOIS 60606

Correspondent:

DANIEL SHERIDAN
CHADBOURNE & PARKE LLP
38 ROCKEFELLER PLAZA
NEW YORK, NEW YORK 10112

Search Results of 11/23/2009 11:01:47 AM

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- Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).
- If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.

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EXHIBIT B4

PTO/SB/96 (07-09)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Mark Justin Moore

Application No./Patent No.: 10/642,309

Filed/Issue Date: Filed August 18, 2003

Titled: Operating System for Executing Computer Software Applications

Brooktree Broadband Holding Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

April 29, 2010

Date

Haw-Minn Lu

Printed or Typed Name

Registered Patent Agent

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXHIBIT C1

Schafer, Tina

From: Patricia Dailey [pdailey@ikanos.com]
Sent: Tuesday, October 20, 2009 10:32 AM
To: Lucy Cooney
Subject: FW: Need one more signature for patent application 10/642,309

Attachments: 20060168589.pdf; GV216 new declaration.pdf



20060168589.pdf GV216 new
f (2 MB) clation.pdf (111

The declaration I last sent to him.

-----Original Message-----

From: Patricia Dailey
Sent: Thursday, October 30, 2008 1:07 PM
To: 'Mark Moore'
Cc: Dennis Gallagher; Haw-minn Lu
Subject: Need one more signature for patent application 10/642,309

Re: U.S. Patent Application No. 10/642,309; filed August 18, 2003 (copy attached)
Title: Operating System for Executing Computer Software Applications

Hi Mark,

The Declaration that you signed and sent to me somehow scrambled words in the first paragraph when you added your address, and I need to ask you to sign it again. I have used a different form this time, and all you need to do is print it out, if correct, sign and date and email to me. Or via fax is fine; see my fax number below.

If you have questions, let me know. Thanks again.

Best regards,

Pat Dailey
IP Paralegal - Conexant Systems, Inc.
100 Schulz Drive
Red Bank, NJJ 07701
Tel: 732.345.6603
Fax: 866.413.4994

EXHIBIT C2

Schafer, Tina

From: Patricia Dailey [pdailey@ikanos.com]
Sent: Tuesday, October 20, 2009 10:30 AM
To: Lucy Cooney
Subject: FW: I am looking to contact Mark Moore
Attachments: GV216 new declaration.pdf

The latest. Never heard back.

From: Patricia Dailey
Sent: Tuesday, February 10, 2009 11:55 AM
To: 'information@alquanto.com'
Subject: I am looking to contact Mark Moore

Please forward this message to Mark Moore. If you can, please respond back to me with Mark's contact information in Spain. Much appreciated.

Cheers!

Pat Dailey
IP Paralegal
Conexant Systems, Inc.
100 Schulz Drive, Red Bank, NJ 07701
Tel (732) 345-6603
Fax (866) 413-4994

-----previous message-----

Addressed to mark.moore@alquanto.com

Re: U.S. Patent Application No. 10/642,309; filed August 18, 2003 (copy attached)
Title: Operating System for Executing Computer Software Applications

Hi Mark,

The Declaration that you signed and sent to me somehow scrambled words in the first paragraph when you added your address, and I need to ask you to sign it again. I have used a different form this time, and all you need to do is print it out, if correct, sign and date and email to me. Or via fax is fine; see my fax number below.

If you have questions, let me know. Thanks again.

Best regards,

Pat Dailey
IP Paralegal - Conexant Systems, Inc.
100 Schulz Drive
Red Bank, NJ 07701
Tel: 732.345.6603
Fax: 866.413.4994

11/5/2009

EXHIBIT C3

Schafer, Tina

From: Bonner, Tony
Sent: Friday, October 23, 2009 1:11 PM
To: 'mark@alquanto.com'
Cc: 'information@alquanto.com'; 'Lucy Cooney'; Campbell, Julie; Schafer, Tina
Subject: 10/642,309 (060707-1330/GV216) patent application documents
Attachments: Original Declaration as filed (00649798).PDF; Drawings (01039859).PDF; Application (01039773).PDF; New Assignment (01039855).PDF; New Declaration (01039854).PDF

Mr. Moore,

My name is Tony Bonner. I am a patent attorney with the law firm of Thomas|Kayden. I am currently prosecuting a patent application (Serial Number 10/642,309) on which you are an inventor. Apparently, when the case was filed, there was a slight error in the documents that you signed and the US Patent Office is requesting that you re-sign these documents. While we can proceed without this signature, it would be much easier for everyone if you could re-sign the attached documents (with witness signatures where appropriate). For your convenience, I have attached the filed application, a new assignment, and a new declaration for you to sign. If you could review the application to ensure that this is the application that represents your invention and (if this is that application), sign the attached declaration (including filling in your address and citizenship) and assignment, I would sincerely appreciate it. Return via fax or email will be the easiest method of response.

If you have any questions whatsoever, feel free to contact me at any time. Thanks so much.

Tony Bonner

Thomas | Kayden
THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP
600 Galleria Pkwy SE
Suite 1500
Atlanta, Georgia 30339-5994
Phone: (770) 933-9500
Fax: (770) 951-0933
tkhr.com

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11/5/2009

EXHIBIT C4

Schafer, Tina

From: Bonner, Tony
Sent: Friday, October 23, 2009 4:36 PM
To: 'brian.knight@adventiq.com'; 'martin.jackson@artimi.com'
Cc: Schafer, Tina; 'Lucy Cooney'; Campbell, Julie
Subject: Contact Information for Mark Justin Moore

Brian, Martin,

My name is Tony Bonner. I am a patent attorney with the law firm of Thomas|Kayden. I am currently prosecuting a patent application (Serial Number 10/642,309) on which Mark Justin Moore is an inventor. Apparently, when the case was filed, there was a slight error in a document that Mr. Moore signed and the US Patent Office is requesting that Mr. Moore re-sign this document. While we can proceed without this signature, it would be much easier for everyone if we could locate him to re-sign the document. As I understand it, both of you were once co-workers of Mr. Moore. If you have any contact information for him that you can send to me, I would sincerely appreciate it. If you don't have any such information, I would appreciate a response email indicating this.

Thanks in advance.

Tony Bonner

Thomas | Kayden

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP

600 Galleria Pkwy SE

Suite 1500

Atlanta, Georgia 30339-5994

Phone: (770) 933-9500

Fax: (770) 951-0933

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11/5/2009

EXHIBIT C5

Schafer, Tina

From: Bonner, Tony
Sent: Monday, October 26, 2009 8:05 AM
To: 'Brian Knight'
Cc: Schafer, Tina; 'Lucy Cooney'; Campbell, Julie; 'martin.jackson@artimi.com'
Subject: RE: Contact Information for Mark Justin Moore

Thanks Brian. I appreciate it.

From: Brian Knight [mailto:brian.knight@adventiq.com]
Sent: Monday, October 26, 2009 6:50 AM
To: Bonner, Tony
Cc: Schafer, Tina; Lucy Cooney; Campbell, Julie; martin.jackson@artimi.com
Subject: RE: Contact Information for Mark Justin Moore

Tony,

I haven't been in touch with Mark for a few years, but I think the email address mark@alquanto.com should still work.

Regards,

-- Brian

From: Bonner, Tony [mailto:Tony.Bonner@tkhr.com]
Sent: 23 October 2009 21:36
To: Brian Knight; martin.jackson@artimi.com
Cc: Schafer, Tina; Lucy Cooney; Campbell, Julie
Subject: Contact Information for Mark Justin Moore

Brian, Martin,

My name is Tony Bonner. I am a patent attorney with the law firm of Thomas|Kayden. I am currently prosecuting a patent application (Serial Number 10/642,309) on which Mark Justin Moore is an inventor. Apparently, when the case was filed, there was a slight error in a document that Mr. Moore signed and the US Patent Office is requesting that Mr. Moore re-sign this document. While we can proceed without this signature, it would be much easier for everyone if we could locate him to re-sign the document. As I understand it, both of you were once co-workers of Mr. Moore. If you have any contact information for him that you can send to me, I would sincerely appreciate it. If you don't have any such information, I would appreciate a response email indicating this.

Thanks in advance.

Tony Bonner

Thomas | Kayden

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP

600 Galleria Pkwy SE

Suite 1500

Atlanta, Georgia 30339-5994

Phone: (770) 933-9500

Fax: (770) 951-0933

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EXHIBIT C6

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Results **1 - 1** of **1** for "**mark justin moore**" **spain**. (0.22 seconds)

[PDF] [When I became Department Chair four years ago, I considered myself ...](#)

File Format: PDF/Adobe Acrobat - [View as HTML](#)

teach with **Mark Justin Moore** at Fleming County HS, while Jeff dream destinations are **Spain**, Argentina, and Mongolia. ...

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"mark justin moore" spain

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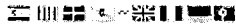
Web [Show options...](#)

Your search - **"mark justin moore" alquanto** - did not match any documents.

Suggestions:

- Make sure all words are spelled correctly.
- Try different keywords.
- Try more general keywords.
- Try fewer keywords.

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We apologise, no entries were found for "**Mark Moore** "

Always verify that :

- You have not used characters type ñ, ", ', etc.
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Individuals and Companies

Fill in at least one of the three fields:

Nombre / Razón social

Mark Moore

1st Surname**2nd Surname****Public Organisms**

Indicate, at least, the province:

Province

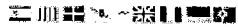
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Individuals and Companies

Fill in at least one of the three fields:

Nombre / Razón social

Mark

1st Surname

Moore

2nd Surname**Public Organisms**

Indicate, at least, the province:

Province

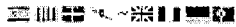
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Individuals and Companies

Fill in at least one of the three fields:

Nombre / Razón social

Mark

1st Surname

justin

2nd Surname

moore

Public Organisms

Indicate, at least, the province:

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Barcelona

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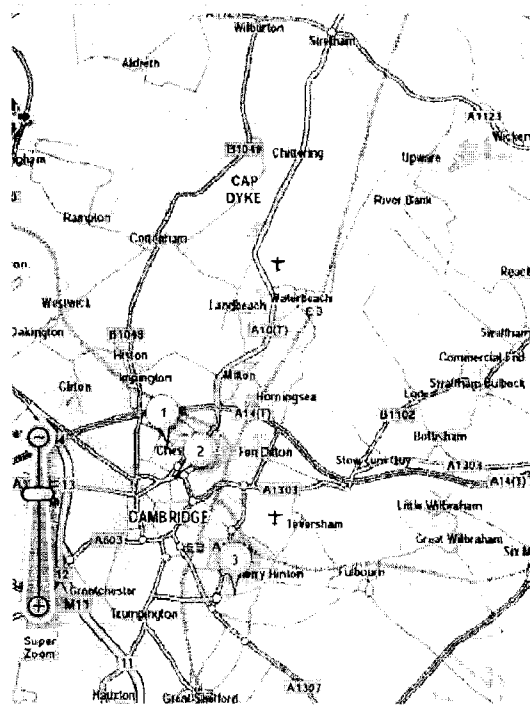
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3 Free Results for Mark Justin Moore in Cambridge

1	M Moore 87 Alex Wood Road, Cambridge, Cambridgeshire, CB4 2EG	01223 523 811
2	M Moore 7 George Street, Cambridge, Cambridgeshire, CB4 1AL	01223 571 700
3	M Moore 103 Queen Ediths Way, Cambridge, Cambridgeshire, CB1 8PL	01223 244 202

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In Re Application of:

Confirmation Number: 1974

Moore

Group Art Unit: 2194

Serial No.: 10/642,309

Examiner: Wu, Qing Yuan

Filed: August 18, 2003

Docket No.: 060707-1330

For: Operating System for Executing Computer Software Applications

EXHIBIT C7:

**DECLARATION IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47 TO ACCEPT
FILING OF APPLICATION MADE ON BEHALF OF AN INVENTOR WHO CANNOT BE
FOUND OR REACHED AFTER DILIGENT EFFORT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

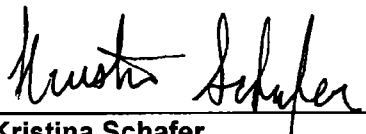
I, Kristina Schafer, declare as follows:

1. I am an employee Thomas, Kayden, Horstemeyer, & Risley, LLP ("TKHR"), a law firm providing legal services to Conexant Systems, Inc., the assignee of the 10/642,309 patent application.
2. On October 28, 2009 at 10:05 a.m., October 30, 2009 at 9:30 a.m., and November 3, 2009 at 8:45 a.m. I attempted to contact Mark Justin Moore at the three numbers provided in Exhibit C6. Every attempt was unanswered and no voicemail was set up on any of the numbers.
3. On April 14, 2010 I received a telephone number that we believe corresponds to the last known address of Mark Justin Moore from a Spanish law firm. We believe that this telephone number corresponds to the address identified in Exhibit C8, paragraph 5. I called the number through Ms. Danielle Qualey, a Spanish-to-English translator. The

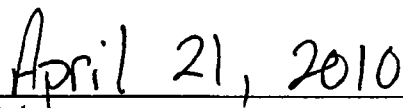
person reached at that telephone number indicated to Ms. Qualey that Mark Justin Moore has never been an employee at that office. Additionally, the person indicated that Mark Justin Moore was not at that telephone number, that she did not know of a Mark Justin Moore, and that she did not know where to reach anyone by that name. Ms. Qualey emailed a transcript of that discussion to me (Exhibit C9).

DECLARATION

I hereby declare that all statements made herein are of my own knowledge are true and that all statements are made on information and belief and are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



Kristina Schafer



Date

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In Re Application of:

Moore

Serial No.: 10/642,309

Filed: August 18, 2003

For: Operating System for Executing Computer Software Applications

Confirmation Number: 1974

Group Art Unit: 2194

Examiner: Wu, Qing Yuan

Docket No.: 060707-1330

EXHIBIT C8:

**DECLARATION IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47 TO ACCEPT
FILING OF APPLICATION MADE ON BEHALF OF AN INVENTOR WHO CANNOT BE
FOUND OR REACHED AFTER DILIGENT EFFORT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Coco Bailey, declare as follows:

1. I am an employee Thomas, Kayden, Horstemeyer, & Risley, LLP ("TKHR"), a law firm providing legal services to Conexant Systems, Inc., the assignee of the 10/642,309 patent application.
2. On February 12, 2010, I contacted a Spanish law firm (Miguel Rigueiro of Clarke Modet) to request their aide and resources for locating the sole inventor of the present application, Mark Justin Moore. Mr. Rigueiro agreed to assist in this matter.
3. Pursuant to instructions by Mr. Rigueiro, on February 12, 2010, I sent a letter to Mark Justin Moore via Federal Express, signature required (Exhibit C10). The letter was sent to Mr. Moore's last known address in Spain. Upon review of the status of delivery, we note that the package was signed for by "receptionist/front desk" on February 19, 2010

(Exhibit C11). We have received no reply to our letter and have no indication that Mark Justin Moore currently lives or works at this address.

4. On March 1, 2010, I corresponded with Mr. Rigueiro again, who informed me that he was not able to locate Mark Justin Moore, nor did he find any information on Mark Justin Moore in the various websites searched.

5. On March 10, 2010, I again contacted Mr. Rigueiro to request that he again send the letter via certified mail.

6. On March 23, 2010, Mr. Rigueiro informed me that the letter was sent via certified mail on March 12, 2010. However, no response to the letter has been received. Mr. Rigueiro does not have proof of receipt by Mark Justin Moore of the certified letter.

DECLARATION

I hereby declare that all statements made herein are of my own knowledge are true and that all statements are made on information and belief and are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



Coco M. Bailey

04-21-10

Date

EXHIBIT C9

Bonner, Tony

From: Danielle.Qualey@sit.aero
Sent: Wednesday, April 14, 2010 1:50 PM
To: Schafer, Tina
Cc: Bonner, Tony; Qualey, Paul
Subject: Re: FW: 60707-1330 International Call Looking for Mark Justin Moore

Hi Tina,

Per your email, I called this company Partal Maresma & Associats in Barcelona, I spoke with one of their associate, and the office manager, both of them confirmed that they do not have Mark Justin Moore in the office, and this gentleman never worked with them, they never heard about him...


Best regards,


Danielle Qualey


Executive Assistant to Jim Peters, CTO


SITA Advance Technology Office

 **E-Mail:** Danielle.Qualey@sit.aero


 **Direct:** +1 770 612 4779

 **CVS:** 7 224 4779

 **Mobile:** +1 404 536 7383

 **Fax:** +1 770 612 2208

 **Address:** 3100 Cumberland Boulevard, Suite 1200, Atlanta, GA 30339, USA

 **Web:** www.sita.aero



"Qualey, Paul" <paul.qualey@tkhr.com>

To <Danielle.Qualey@sit.aero>

cc

04/14/2010 01:29 PM

Subject FW: 60707-1330 International Call Looking for Mark Justin Moore

From: Schafer, Tina

Sent: Wednesday, April 14, 2010 12:17 PM

To: Qualey, Paul

Cc: Bonner, Tony

Subject: 60707-1330 International Call Looking for Mark Justin Moore

4/21/2010

Hi Danielle,

Thank you so much for helping us out! We are trying to locate Mark Justin Moore. According to our Foreign Associate he is employed at

Partal Maresma I Associats S.L.
C/ Ferlandina, 43, 08001 Barcelona, Spain
Phone # (with international code) 011 34 93 442 64 39

We need to know if he still works there.

If so - When will he be available for me to call and does he have a direct line? If he does not have a direct line can you let the receptionist know that I will be calling to ask for him.

If he no longer works there - Do they have any forwarding information for him? Address, Phone Number, and/or new employer.

Since it is almost 6 in Spain we can try tomorrow morning.

Thanks,

Tina Schafer
Legal Assistant

Thomas | Kayden
THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP

600 Galleria Pkwy SE
Suite 1500
Atlanta, Georgia 30339-5994
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4/21/2010

February 12, 2010

Via Federal Express Delivery – Signature Required

Mr. Mark Justin Moore

C/Ferlandina 43- 4^º2^a, 08001 Barcelona, Spain

RE: U.S. Utility Patent Application
Title: **OPERATING SYSTEM FOR EXECUTING COMPUTER SOFTWARE APPLICATIONS**
Serial No.: 10/642,309; Filing Date: August 18, 2003
Inventor: Mark Justin Moore
Our Docket No.: 060707-1330; Client Ref.: GV216

Dear Mr. Moore:

My name is Tony Bonner. I am a patent attorney with the law firm of Thomas|Kayden. I am currently prosecuting a patent application (Serial Number 10/642,309) on which you are an inventor.

Apparently, when the case was filed, there was a slight error in the documents that you signed and the US Patent Office is requesting that you re-sign these documents. While we can proceed without this signature, it would be much easier for everyone if you could re-sign the attached documents (with witness signatures where appropriate).

For your convenience, I have attached the filed application, a new assignment, and a new declaration for you to sign. If you could review the application to ensure that this is the application that represents your invention and (if this is that application), sign the attached declaration (including filling in your address and citizenship) and assignment, I would sincerely appreciate it. Return via fax or email will be the easiest method of response.

If you have any questions whatsoever, feel free to contact me at any time.

Very truly yours,

**THOMAS, KAYDEN, HORSTEMEYER,
& RISLEY, L.L.P.**



Anthony F. Bonner, Jr.
tony.bonner@tkhr.com

SAH/cmb
Enclosure

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Destination


Ship date  Feb 12, 2010
Delivery date  Feb 19, 2010 11:10 AM

BARCELONA ES
Proof of Delivery 

Shipment Facts

Service type	Priority Envelope	Delivered to	Receptionist/Front Desk
Weight	1.0 lbs/ .5 kg	Reference	60707-1330

Shipment Travel History

Select time zone: Local Scan Time 

All shipment travel activity is displayed in local time for the location